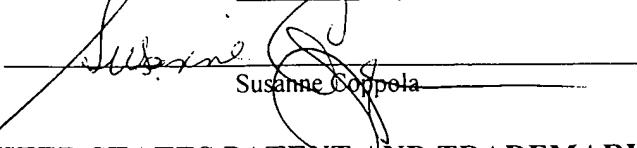




CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:  
"Commissioner for Patents, Washington, D.C. 20231"  
on March 28, 2001.

  
Susanne Coppola

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Lalit Narayan and Dechu P. Muthana

SERIAL NO. : 09/766,911

DATE FILED : January 22, 2001

FOR : System and Method to Establish Trading Mechanisms Employing  
Auctions and Reverse Auctions

Commissioner for Patents  
Washington, D.C. 20231

Box: Missing Parts

TRANSMITTAL OF LATE DECLARATION

SIR :

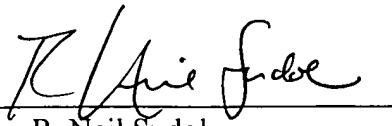
In anticipation of the Notice to File Missing Parts of Non-Provisional Application in the above-identified application, enclosed herewith is a Declaration duly signed by both inventors, Lalit Narayan and Dechu P. Muthana. Applicants hereby submit a check in the amount of Sixty-Five Dollars (\$65.00) in payment of the late filing charge in accordance with the fees for small entity status.

An Assignment to BondGlobe Inc. is being filed concurrently. The Assignee company qualifies for small entity status. A copy of the Assignment is enclosed for reference purposes.

Kindly charge any additional fees or credit any over-remittance to Deposit Account No. 04-0838. A duplicate of this transmittal sheet is enclosed for deposit account purposes.

Respectfully submitted,  
COLEMAN SUDOL SAPONE, P.C.

By:

  
R. Neil Sudol,  
Reg. No. 31,669

708 Third Avenue, 14th Floor  
New York, New York 10017  
(212) 679-0090

Dated: March 28, 2001



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: "Commissioner for Patents, Washington, D.C. 20231" on May 16, 2001.

Susanne Coppola

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Lalit Narayan and Dechu P. Muthana

SERIAL NO. : 09/766,911

DATE FILED : January 22, 2001

FOR : System and Method to Establish Trading Mechanisms Employing  
Auctions and Reverse Auctions

Commissioner for Patents  
Washington, D.C. 20231

Box: Missing Parts

**TRANSMITTAL OF LATE DECLARATION**

SIR :

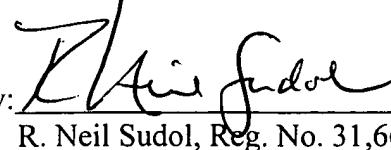
In response to the Notice to File Missing Parts of Non-Provisional Application mailed May 25, 2001 in the above-identified application (copy enclosed), enclosed herewith is a Declaration duly signed by both inventors, Lalit Narayan and Dechu P. Muthana.

A previous transmittal of the Declaration was submitted on March 28, 2001. Accompanying the previous transmittal was a check in the amount of Sixty-Five Dollars (\$65.00) in payment of the late filing charge in accordance with the fees for small entity status. Enclosed is a copy of the previous Transmittal as well as a copy of the date stamped postcard evidencing receipt.

An Assignment to BondGlobe Inc. was filed in the United States Patent and Trademark Office; the filing particulars have not yet been received. The Assignee company qualifies for small entity status. A copy of the Assignment is enclosed for reference purposes.

Kindly charge any additional fees or credit any over-remittance to Deposit Account No. 04-0838. A duplicate of this transmittal sheet is enclosed for deposit account purposes.

Respectfully submitted,  
COLEMAN SUDOL SAPONE, P.C.

By:   
R. Neil Sudol, Reg. No. 31,669

708 Third Avenue, 14th Floor  
New York, New York 10017  
(212) 679-0090

Dated: May 16, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

#2

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,911	01/22/2001	Lalit Narayan	B41-003

## CONFIRMATION NO. 2508

## FORMALITIES LETTER



\*OC000000006005348\*

R. Neil Sudol  
Coleman Sudol Sapone, P.C.  
14th Floor  
708 Third Avenue  
New York, NY 10017

Date Mailed: 04/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE